



RIXML.org

Quarterly Update

2019-Q3

RIXML Standards Committee



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September 30, 2019

The RIXML organization held its second quarterly members' meeting of 2019 at the 55 E 52nd St office of Blackrock hosted by Paul Booth.

Jack Kokko CEO Alpha Sense was our guest speaker providing a view on how AI and NLP will reshape the future of research consumption. Jack discussed the issues with variability of tagging across the brokers and manual filtering and how utilizing AI and NLP can improve finding cross-sectional information, creating real-time alerts and summarize trends and themes.


Roman Kitov followed with his Treasurer's Report for the preceding quarter.

Sal Restivo, Chairman of the RIXML Standards Committee presented the quarterly update pointing out the achievements of the interaction schema and updates regarding the link-back working group and entitlements.


The May meeting focused on the planning and implementation of v2.0 of our RIXML Interactions Standard. Deirdre Goldenbogen and Jim Ulrich led the discussion, presented the proposed changes, and reviewed the expected release process. The key discussion was around the advantages of leveraging the RIXML common schema and it was agreed to break backward compatibility with the next release being Version 2.0.



Featured Presentation



Jack Kokko
CEO & Founder Alpha Sense



AlphaSense
How Will AI and NLP Reshape the Future of Research Consumption?
Jack Kokko
CEO & Founder, AlphaSense

Activity Summary

See the table below for a summary of RIXML activity that has taken place during the last quarter.

Date	Work Stream	Venue	Host	Main Topics
May 3	Quarterly Meeting	Blackrock	Jim Ulrich	AI and NLP Interaction Schema
May 15	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
May 22	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
May 29	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
June 5	Interactions	Call	Sal Restivo	V2.0 Schema Planning
June 12	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
June 14	Technology	Call	Jim Ulrich	V2.0 Schema Planning XML Creation
June 19	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
June 20	Annual Cocktail Party	Call	Jim Ulrich	
June 26	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
July 9	Interactions	Call	Jim Ulrich	V2.0 Schema Planning
August 2	Technology	Call	Jim Ulrich	V2.0 Schema comment period Release Updates to Research Standard
Sept 6	Technology	Call	Jim Ulrich	V2.0 Schema Release Newsletter

Interactions Working Group

The Interactions work stream was launched to create a standard for describing sell-side/buy-side interactions that captures services rendered and services received, consumed, and valued. This will aid the industry in its efforts to comply with the coming MiFID II unbundling requirements.

Version 2.0 of the Interactions Standard was released for the comment period on July 18th and received no critical feedback requiring a revision of the schema. The Interaction Schema V2.0 was released on September 6th.

The schema was created and tested by the RIXML Technology Committee under the Chairmanship of Sal Restivo, Morgan Stanley, Deirdre Goldenbogen, RIXML, Alex Shifrin, Moody's, Dimitri Krylov, BlueMatrix and Roman Kitov and Gautam Belday, Deutsche Bank.

Link-Back Working Group

With many research publishers broadening their platforms to embrace various forms of digital content delivery, issues around Identity & Access Management (IAM) and workflow support arise between publishers, consumers, and aggregators. RIXML is exploring how to add value under the banner of our Link-Back Landscape work stream. Patty Lynch from Goldman Sachs will be taking over as the Chairwoman of the Link-Back working group from Roman Kitov.

Newsletter Working Group

As an organization the last 2 years has been focused on developing and updating the interaction standard. As we released the Interaction Standard V2.0 the organization will be going into BAU mode. Jay Clarke has volunteered to create a template and edit a newsletter to be distributed to the RIXML Members and mailing list between meetings.

RIXML.org Treasurer

Jay Clarke from Raymond James has volunteered to take over treasurer duties from Roman Kitov. We want to thank Roman for his dedication to RIXML.org during the last 3 years and welcome Jay.