

RIXML Standards Suite v3.0 Enumeration List Review Project

Enumeration review set 1:

Publishing content and framing the context

Context:

If you have not already done so, please review the [overview](#) of the RIXML v3.0 Enumeration List Review Project.

To understand the context for the enumeration lists being reviewed in this document, please read the following sections of the [Draft Release Notes and Data Dictionary](#) document:

- Overview (pages 7-10; this review set covers enumerations used in Tasks 1.4 and 2.2 on pages 7-8)
- The Research Product (page 11-15)
- Publishing content (pages 16-21)
- Framing the content (pages 22-23)
- Defining the focus of the report (pages 38-39)

Both of these documents are available on the RIXML website. Note that the page numbers referenced above are based on the draft version dated December 18, 2024; minor changes may occur in updated versions.

Enumerations to review / review priority:

Enumeration list	Review importance
Indicating the publishing workflow	
PublishingActionTypeEnum	LOW
Framing the content	
ProductMediumEnum	HIGH
ProductFormEnum	HIGH
DisciplineTypeEnum	HIGH
ResearchApproachEnum	HIGH
Defining the main topic(s)	
FocusEnum	MEDIUM
Administrative tags	
ResourceTypeEnum	LOW
LengthUnitEnum	LOW

Details about how and where the above enumerations, along with specific questions for your consideration, appear below.

Details about each list:

Category 1: indicating the reason for publication:

List:	PublishingActionTypeEnum	Review importance: LOW
Where used:	PublishingAction.publishingActionType	Full documentation details: Tag description pages 16 & 22 Enumeration list page 87
Summary: The <i>PublishingActionList</i> allows for a timestamped record of changes made to a RIXML Research instance document and to each individual file or other resource contained in it, to a coverage update, or to a roster update. While many items will simply be published, others may need to later be revised, recalled, deleted, have tags updated, or otherwise require adjustments to the initial publication.	Enumeration values: Pending Revised Recalled Deleted Published Rebroadcast Released BackFilled TagsUpdated RevisedMaterialChange RevisedNoMaterialChange	
Notes: <ul style="list-style-type: none">• The <i>Status</i> tag set from prior versions has been renamed <i>.PublishingAction</i>; similarly, the enumeration list formerly called <i>StatusTypeEnum</i> has been renamed <i>PublishingActionTypeEnum</i>.• No changes have been made to this enumeration list vs. the list used in v2.5.• This list will not have a PublisherDefined option.		
Questions: <ul style="list-style-type: none">• Are any additional publishing action options needed, particularly regarding the new research content types – Interactive/HTML5, audio, video?		

Category 2: Framing the content:

For the following, please review the *Framing the content* section of the draft documentation (pages 22-23, as well as the principalMedium entry on page 13) for more information. To facilitate reviewing these lists together as you think through different use cases, the enumerations for principalMedium, Form, disciplineType, and researchApproach are listed together in a grid below the following tables.

List:	ProductMediumEnum	Review importance: HIGH
Where used:	ResearchProduct.principalMedium	Full documentation details: Tag description pages 13 & 22 Enumeration list page 87
Summary: The principalMedium is an attribute to the top-level tag in the main Research tag set. It is meant to be a very high-level indicator and is new in v3.0.		Enumeration values: Written Audio Video Interactive Mixed
Notes: <ul style="list-style-type: none">principalMedium has been introduced because research content is being published in more formats than in the past, and more non-traditional research is being published using the tools and systems formerly used only for traditional (static written) research.It is also available as an attribute for any individual file (Resource) that is included in a Research item.It is important to review page 22 of the draft data dictionary for a full description of how ProductMedium, ProductForm, DisciplineType, and ResearchApproach work together to broadly describe what “kind” of research item a RIXML record is describing. Please review this tag set together with the other three.principalMedium intentionally does not provide a PublisherDefined option, so we want to make sure we are capturing all of the relevant terms for these lists.		
Questions: <ul style="list-style-type: none">Do the ProductMedium, ProductForm, DisciplineType, and ResearchApproach elements, and the enumeration lists that are used to populate them, provide the options necessary to document broadly what “kind” of research item a RIXML record is describing? (Review page 22 of the draft data dictionary for a full description.)Are there terms missing in this list?		

List: ProductFormEnum	Review importance: HIGH
Where used: Form.form	Full documentation details: Tag description pages 23 & 22 Enumeration list page 86
Summary: <i>ProductCategory</i> has been renamed <i>Form</i> , and describes one aspect of what the report “is” – is it a report? A model? A podcast? A glossary? Notes: <ul style="list-style-type: none"> • Multiples are allowed, as a research item may fall into one or more of these categories. • This list was developed over time, with new values being added as new formats and types of content have been added. We would like to review this list to ensure consistency and completeness. • It is important to review page 22 of the draft data dictionary for a full description of how ProductMedium, ProductForm, DisciplineType, and ResearchApproach work together to broadly describe what “kind” of research item a RIXML record is describing. Please review this tag set together with the other three. • There is some overlap between the ProductFormEnum (this list), the EventVenueTypeEnum list, and possibly the InteractionModeEnum list. We will be reviewing the <i>Form</i> enumeration again during the review of the event and interaction enumeration lists, but for now, we would like to ensure that this enumeration list represents the enumerations that apply to research content. 	Enumeration values: Comment Report Model Chart ** Compilation Presentation Event Primer Directory Glossary Overview EventInvitation PostEventSummary Podcast * Interview * PanelDiscussion * ExhibitExplainer * EventRecording * Marketing * TrainingMaterial * PublisherDefined * new ** slight wording change
Questions: <ul style="list-style-type: none"> • Are there terms missing in this list? 	

List:	DisciplineTypeEnum	Review importance: HIGH
Where used:	Discipline.disciplineType	Full documentation details: Tag description pages 23 & 22 Enumeration list page 88
Summary: disciplineType and researchApproach are attributes of the Discipline element. These have long existed in the research standard, but we want to review the enumeration lists to determine whether we are missing any options.		Enumeration values: Investment Strategy Economics
Notes: <ul style="list-style-type: none">disciplineType intentionally does not provide a PublisherDefined option, so we want to make sure we are capturing all of the relevant terms for these lists.It is important to review page 22 of the draft data dictionary for a full description of how ProductMedium, ProductForm, DisciplineType, and ResearchApproach work together to broadly describe what “kind” of research item a RIXML record is describing. Please review this tag set together with the other three.“Economics” and “Strategy” are commonly used, well understood terms that cover disciplines that are not considered to be asset classes. “Investment” is intended to cover “everything else” that falls into traditional asset classes.		
Questions: <ul style="list-style-type: none">Are there terms missing in this list? We would particularly like input regarding whether this list reflects the discipline(s) used for <i>all</i> of the content your firm publishes with RIXML tagging – equity, credit, fixed income, thought pieces, macroeconomic, content published by non-research departments, etc.		

List:	ResearchApproachEnum	Review importance: HIGH
Where used:	Discipline.researchApproach	Full documentation details: Tag description pages 23 & 22 Enumeration list page 88
Summary: disciplineType and researchApproach are attributes of the Discipline element. These have long existed in the research standard, but we want to review the enumeration lists to determine whether we are missing any options.		Enumeration values: Fundamental Quantitative Technical
Notes: <ul style="list-style-type: none">researchApproach intentionally does not provide a PublisherDefined option, so we want to make sure we are capturing all of the relevant terms for these lists.It is important to review page 22 of the draft data dictionary for a full description of how ProductMedium, ProductForm, DisciplineType, and ResearchApproach work together to broadly describe what “kind” of research item a RIXML record is describing. Please review this tag set together with the other three.		
Questions: <ul style="list-style-type: none">Are there terms missing in this list?		

To facilitate reviewing the above 4 lists as you think through different use cases for the content your firm publishes, here is a grid with the enumerations for each:

ResearchProduct	Form	Discipline	
principalMedium	form	disciplineType	researchApproach
Written Audio Video Interactive Mixed	Comment Report Model Chart ** Compilation Presentation Event Primer Directory Glossary Overview EventInvitation PostEventSummary Podcast * Interview * PanelDiscussion * ExhibitExplainer * EventRecording * Marketing * TrainingMaterial * PublisherDefined *	Investment Strategy Economics	Fundamental Quantitative Technical

* New in v3.0

** minor terminology adjustment (formerly called 'Charts')

Category 3: defining the focus of a research report or other research item

List:	FocusEnum	Review importance: MEDIUM
Where used:	Focus.focus	Full documentation details: Tag description page 38 Enumeration list page 92
Summary: The Focus tag set allows the publisher to indicate the main topic(s) of the research item – e.g., whether it is mainly a company report or mainly an industry overview. For v3.0, we have made this list consistent with the top-level tag sets available in the Research standard; in the past, there were some inconsistencies.	Enumeration values: Industry Discipline Issuer Security Region Country AssetClass EsgDimension Index Subject Specialty Currency Market Unfocused	
Notes: <ul style="list-style-type: none"> Once the publisher has defined the focus, they are encouraged to provide a relevant identifier (though this is optional). For example, if the publisher indicates that the focus is an industry, they are encouraged to provide an IndustryID; if the focus is Security, then they are encouraged to provide the primary SecurityID, etc. The last item in the FocusEnum list is “Unfocused”; our current documentation indicates that this is to be used for morning call summaries and other compilation reports, to indicate that the report does not have a single focus. 		
Questions: <ul style="list-style-type: none"> The options in this list represent the key tag sets available to describe a research item. Do any of them <i>not</i> make sense as a potential focus? In particular, can the focus of a research item be a specialty? (We will ask this again when reviewing the specialty enumeration list.) The Focus tag set is optional; a publisher can choose to omit it. Does the “Unfocused” option (see note above) provide meaningful information? Is it used? Should we consider retiring it, instead recommending either omitting the tag when there is not a single focus or utilizing the FocusList to indicate multiple values? 		

Category 4: administrative tags:

List:	LengthUnitEnum	Review importance: LOW
Where used:	Length.lengthUnit	Full documentation details: Tag description page 19 Enumeration list page 94
Summary: Publishers can indicate the size of a research item; the unit varies depending on whether the item is presented in written (pages) or audio/video format (TimeUnit). This is an optional tag that can be omitted when not relevant (for example, models and interactive content would likely not need this information).	Enumeration values: Pages TimeUnit	
Notes: <ul style="list-style-type: none">•		
Questions: <ul style="list-style-type: none">• The purpose of the length unit tag is to provide some sense of a document’s size/length – whether it is a big a big report or a small one; a long video or a short one. Is this information valuable?• Does your firm populate the field, include it in the metadata you capture, or use it to help find the content you need?• Are there any other units of measure a publisher would want to use, in particular for non-print research content? For example, is there any need to indicate some particular size measurement for an Excel model, or would omitting the tag (our current recommendation) be the preferred option?		

List:	ResourceTypeEnum	Review importance: LOW
Where used:	Resource.type	Full documentation details: Tag description pages 18 & 54 Enumeration list page 100
Summary:		Enumeration values: XBRL
Notes: <ul style="list-style-type: none">This attribute is added to a RIXML file when the research content in the file is delivered in XML format.		
Questions: <ul style="list-style-type: none">Currently, the only option in this list is <i>XBRL</i>		