

Taxonomy Task Team meeting summary

Date of meeting	March 19, 2026	
Topic	Tags related to events and interactions	
Background information	See the Events and interactions document on the website	
Upcoming meetings	Date TBD	Asset Classes
	Date TBD	ISO codes
	Date TBD	Financials

At our meeting on March 19, we reviewed the enumeration lists used in the Events tag set in the Research Standard, as well as the interaction-related enumeration lists used in the Interactions Standard.

Background information can be found

- In the [overview](#) of the RIXML v3.0 Enumeration List Review Project
- In the [Background Material](#) document for this set of tags
- In the following sections of the [Draft Release Notes and Data Dictionary](#) document:
 - Overview (pages 7-10)
 - Event information (pages 78-80)
- In the RIXML Interactions Standard v2.0 Data Dictionary (separate document, available [here](#))

These documents are available on the RIXML website. Note that the page numbers referenced above are based on the draft version currently on the RIXML website; minor changes may occur in updated versions.

See the link above for the discussion document that guided this meeting, as it contains the list of enumeration lists and values that are covered in this meeting recap.

Since not everyone is familiar with the Events tag set and the Interactions Standard, we included a quick overview, summarized below along with the enumeration list discussions.

Background information and reminders:

The full descriptions of the tag sets that use these enumeration lists can be found in the v3.0 draft Data Dictionary

The purpose of these meetings is to discuss the values in the enumeration lists used in our standards, although our discussions often include discussing the tags themselves, to provide important context and help our decision making. The discussion documents for each meeting indicate the page references for the full tag sets, and we recommend reviewing these to get a full sense of how these enumeration lists are used.

Enumeration lists do not dictate your firm's terminology

We reiterated the fact that firms are not expected to use the terms in these enumeration lists *instead of* the terms their firm uses; rather, the intention is that each firm *maps* their terminology to the relevant RIXML enumeration value. When content from multiple contributors is aggregated, this ensures that similar content is brought together under consistent terminology.

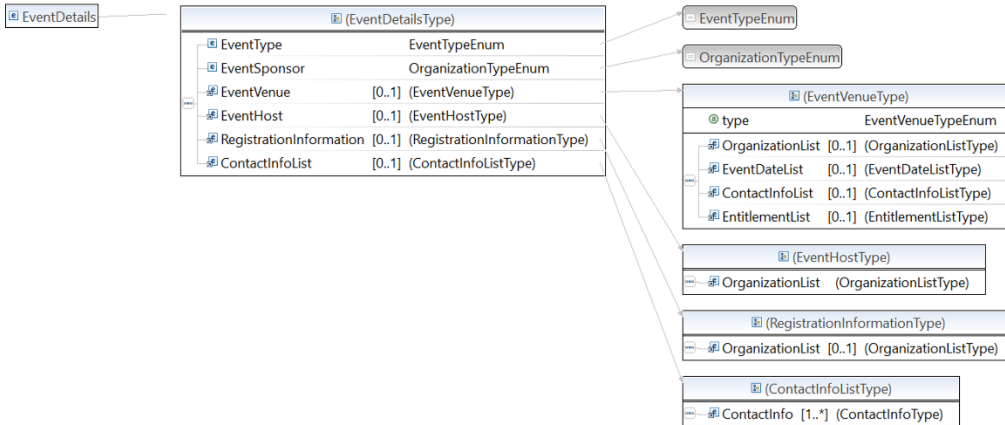
Minimizing use of PublisherDefined option

Not all of the lists in this review set have a PublisherDefined option. This is by design, as the purpose of these enumeration lists is to bring like content together, with publishers mapping whatever custom terminology they use to the relevant values in the list. That said, this does mean that we need to work together to ensure that all firms are able to map from their terminology to RIXML's, and to identify any gaps that may exist. As you review these lists, please make note of any challenges your firm encounters. For lists that do include the PublisherDefined option, please review how your firm uses this option, and consider whether any values your firm uses should be suggested as additions to our existing list.

Introduction

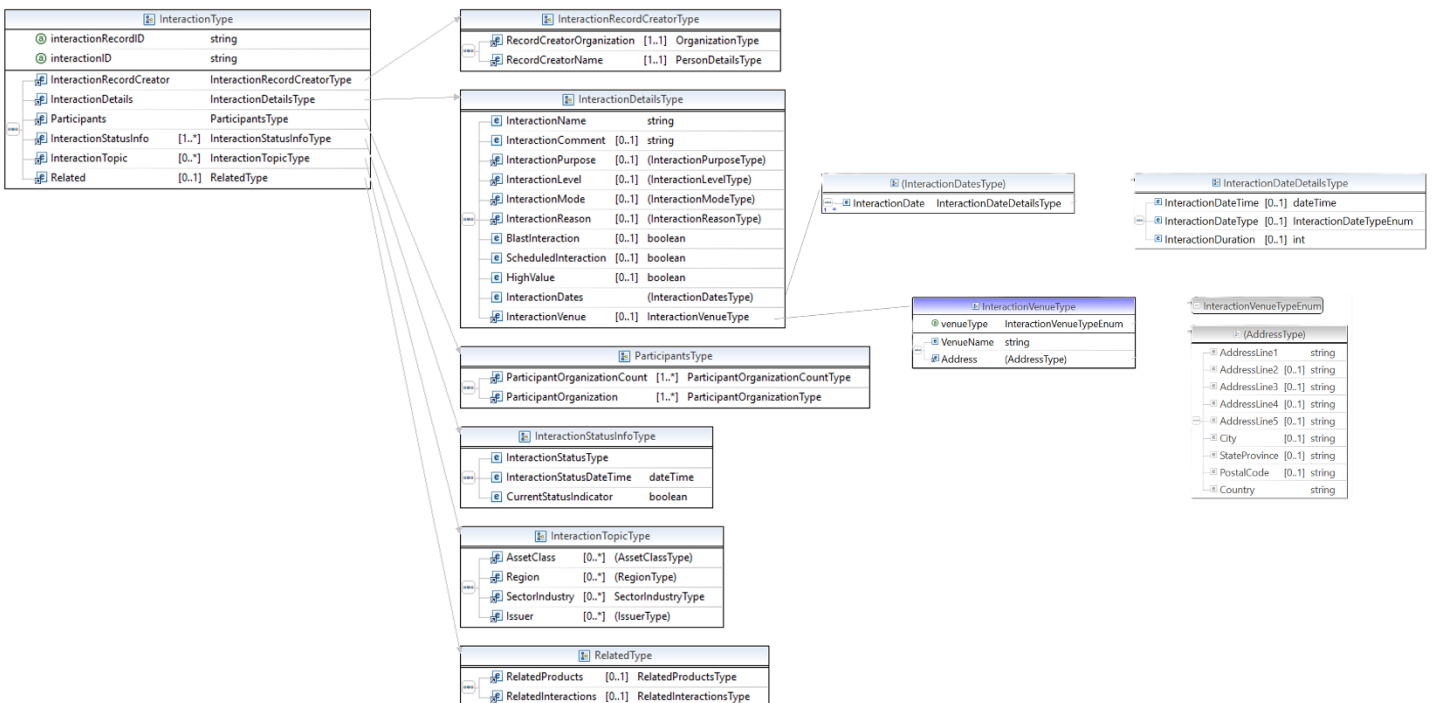
Event Details tag set in Research Standard

There is a lightweight tag set in the Research Standard to describe Events. It has been available since the v2.0 version of the standard (released in 2002) to allow publishers to create a RIXML Research instance document to convey basic information about events they were hosting AND to convey certain dates related to IPOs. To use this tag set, the publisher will set the EventIndicator flag to *True* to indicate that the information in the instance document is an event record.



Interactions Standard

The Interactions Standard was developed to address interaction reporting requirements related to the EU’s MiFID II regulation, but has broader applicability. For example, it can also be used to facilitate transmitting interaction information for use in the broker vote process. The Interactions Standard provides a more robust mechanism for describing events and other interactions. Unlike the Events tag set in the Research Standard, the Interactions Standard allows publishers to capture all participants involved in the interaction, including the participants from the host firm as well as the buy-side participants and corporate and third-party expert representatives.



Considerations

Events tag set will remain, but new adoptions are discouraged

We originally proposed retiring the Events module in the Research Standard v3.0 release; however, a member firm requested that we keep it because it is integrated into their workflow. Thus, we will be keeping it, but will indicate in the documentation that it is not recommended for new implementations, with the newer, more robust Interactions Standard being the recommended method of conveying event and interaction data.

Interactions enumeration lists will be updated; Events enumeration lists will not

For tags and enumerations that are only used in the Events standard, we will update the documentation to reflect recommended best practices but will likely leave the enumeration lists as-is. Our focus will be ensuring that the terminology available in the Events standard is also available in the Interactions Standard, unless it is deemed no longer applicable/relevant.

RIXML v3.0 will incorporate the updates from Interactions v2.0

Because the Interactions Standard was created after the current production version of the Standards Suite, there were some tags and enumeration lists needed that were not available in the Common and Datatypes schema files. As an interim step, we created a new version of each (v2.6) with the added tags and enumerations; the intent is to resolve this discrepancy in the v3.0 update, with all standards using the same Common and Datatypes schema files.

Thus, as we review the lists below, we want to ensure that:

- the enumeration lists used in the Interactions Standard have been reviewed and updated to reflect any improvements identified since the current production release
- we have adjusted the terminology in the Interactions-related enumeration lists to accommodate concepts in the Events enumeration lists that are not reflected in the relevant, current Interactions enumerations.

Managing the overlap between Interaction records and Research records:

The Interactions Standard is used to capture the details of all types of inter-firm interactions, from large conferences to individual analyst requests for models or custom research. The Research Standard is used to create metadata to describe a wide range of investment research; the primary purpose of this metadata is to populate the databases that are used to aggregate, search for, and serve up research content.

There are certain types of content that could be described using either the research standard OR the interactions standard, depending on whether they were requested by and/or created for an individual or if they are intended for a broader audience. When a sellside analyst emails a model or custom report to a buy-side requester, it generally would not be accompanied by a RIXML Research tag file (instance document); however, the sellside analyst would likely record this request and response in an interaction database, either for internal recordkeeping, compliance/regulatory purposes, or to report this information to their buy-side partners during the broker vote or similar process.

Additionally, some interactions may result in both an Interactions record *and* a Research record; such as conference presentations later made available for replay, or transcripts of such presentations.

To sum up these situations:

When delivering custom content, an Interactions record would be created, but a Research record would not:

- *Model* exists as an InteractionMode because many firms do not distribute them via their research feeds; rather, they are delivered directly to the requester, with the request and the fulfillment of it being recorded in the system capturing interactions/client servicing requests.
- Likewise, requests for and delivery of bespoke or custom research reports and similar content would be recorded as an interaction, using the *Product* InteractionMode.

- In both cases, the InteractionLevel value would be “Deliverable”; for anything in this category, it is possible that a RIXML Research record would be used to fully describe the item delivered, but this would generally be unnecessary unless needed for the publisher’s internal workflow.

For content intended for a broad audience, a Research record would be created, but an Interactions record would not:

- Research reports, podcasts, explainer videos, models that are broadly disseminated, etc. would generally be distributed via systems that use the Research standard as the protocol for the metadata that is provided to the content aggregators receiving the research content.

However, some situations may result in both an Interaction record AND a Research record:

- For a conference, the meeting announcement, signups, description, etc. would be handled in the interactions system; the video replay, transcript, follow-up report, etc. would be described by Research records.
- The Interactions record has the ability for the publisher to indicate the research records that are related to it, allowing the meeting host to connect the interaction record to the replay or output of the interaction.
- In RIXML v3.0, we are considering adding a corresponding functionality in the Research standard, allowing publishers to indicate the related Interaction records.

The overlap in functionality described above is why *Model* exists in both the InteractionMode enumeration list and the Research Standard’s Form enumeration. While the Interactions Standard does not include as much detail regarding the topic of an interaction, it does use the same issuer (company/ticker), sector/industry, region, and asset class tag sets as are used in the Research Standard, allowing broker vote and other systems to sort, filter, process, and analyze both types of content using a consistent tag set.

Enumeration considerations for RIXML v3.0

As we review the suggested additions to our enumeration lists, we will need to consider whether the suggested term would likely be used to describe an interaction, or if it would be used to describe content that would be processed through systems using the Research standard. The meeting summary below includes some values that we will need to think about from this perspective.

Taxonomy review

InteractionMode and InteractionPurpose

As we review InteractionMode and InteractionPurpose, we are including the Form and principalMedium enumeration lists for reference, because some of the terms in the EventType and EventVenue could potentially be handled using terms in these enumeration lists rather than being added to InteractionMode or InteractionPurpose.

Note that the principalMedium attribute is new in v3.0 and is an attribute for the top-level Product tag. It is designed to give a higher-level sense of the type of content being described, with the Form tag used to give additional granularity. During the Interactions Standard v3.0 development, we would identify the appropriate way to add the Form and principalMedium attributes to the interaction record.

Currently available in Interactions but not Research		Currently available in Research but not Interactions	
Interaction	Interaction	Form	ResearchProduct
InteractionMode	InteractionPurpose	form	principalMedium
Call DataFeed Email IM Inperson Model Product Service Video VoiceMail PublisherDefined Suggested additions: APIs ⁴ ResearchPortal ⁴ ScreenShare Chatbot ⁴ Potential addition: Virtual (for real-time but not in person) Video is in list – should Audio be added?	AnalystMarketing BankerMeeting Conference CorporateAccess Content DealRoadshow ExpertMeeting FieldTrip Idea InvestorDay NonDealRoadshow RelationshipMeeting Sales SalesSpecialist Social PublisherDefined Potential additions: GovernmentMeeting MediaAppearance Seminar ShareholderMeeting TradeShow	Comment Report Model ³ Chart ² Compilation Presentation Event Primer Directory Glossary Overview EventInvitation PostEventSummary Podcast ¹ Interview ¹ PanelDiscussion ¹ ExhibitExplainer ¹ EventRecording ¹ Marketing ¹ TrainingMaterial ¹ PublisherDefined ¹ Suggested additions: Transcript TranscriptSummary Potential additions: FiresideChat Webcast Brief PressRelease (or WrittenRelease) Commentary (not the same as a Comment)	Written Audio Video Interactive Mixed Recommended addition: Data <div style="border: 1px solid black; padding: 5px;"> <p>Although principalMedium is an attribute of the top-level tag in the Research standard, there is no reason this enumeration list couldn't also be used in the Interactions Standard.</p> </div> <div style="border: 1px solid black; padding: 5px;"> <p>Although the Form element is currently only used in the Research standard, it is defined in the Common schema file and would be available for use in the Interactions standard if desired.</p> </div>
<div style="border: 1px solid black; padding: 5px;"> <p>The InteractionMode and InteractionPurpose tags are defined in the main Interactions schema file, not in the shared Common schema file. This seems to be the appropriate place for them to remain, as Research records do not require this functionality.</p> <p>Regardless, the enumeration lists used by these tags are housed in the Datatypes schema and would be available for use in any of our standards, if desired.</p> </div>			

¹ indicates new term

² indicates updated terminology for existing term (Chart was formerly Charts)

³ ValuationModel was discussed; options are to add it as a term in form or keep "Model" and add mechanism to indicate what kind of model. Further discussion required.

⁴ These terms could also be considered to be a type of Service, which is a value already available in the InteractionMode list.

EventVenue and EventType

Before we can recommend that firms use the Interactions standard instead of the Event tag set in the Research standard, we need to ensure that the enumerations used in the Event tag set have corresponding options in the Interactions standard, or that the term refers to the *output* of an event (which would be covered as the product's *Form*). Below is a review of the enumerations in the EventVenueType and EventType lists, with recommended or potential alternatives in the Interactions Standard. Note that some of the recommendations below will require that Form and PrincipalMedium, currently only available in the Research Standard, be added to the Interactions Standard. Potential solutions requiring member firm input are in **bold**:

Event.EventVenueType

The terms in this list that are related to meetings or events will be included in the InteractionPurpose list; those relating to the output of an event will be added to the Form enumeration list. Suggested actions are:

ConferenceCall	InteractionMode=Call; other tagging would convey the purpose, attendees, etc.
OneOnOneMeeting	InteractionMode= Call (or InPerson or Virtual) + ParticipantFirmCount=2 (host + client) + InteractionLevel=Interactive
GroupMeeting	InteractionMode= Call (or InPerson or Virtual); ParticipantFirmCount and other tagging would convey the size, purpose, attendees, etc.
Webcast	older term, but if still needed, could be added to Form enumeration list
WrittenRelease	recommend adding either WrittenRelease or PressRelease to Form enum list
InternetAudio	principalMedium (new in v3.0) = Audio
InternetVideo	principalMedium (new in v3.0) = Video
MediaAppearance	potential addition to InteractionPurpose
Transcript	recommend adding to Form enumeration list
Brief	recommend adding to Form enumeration list
Podcast	new addition to Form enumeration list for v3.0
Interview	new addition to Form enumeration list for v3.0
Panel	PanelDiscussion is a new addition to Form enumeration for v3.0

Event.EventType list

IndustryConference	Tagging for this in Interaction record would be: InteractionPurpose=Conference; "Industry" will be captured in the InteractionTopic tag set
CountryRegionConference	Tagging for this in Interaction record would be: InteractionPurpose=Conference; "Country/Region" will be captured in the InteractionTopic tag set
SeminarConference	Recommend adding "Seminar" to InteractionPurpose enumeration list
ThematicConference	InteractionPurpose=Conference; "Industry" will be reflected elsewhere in the record
TradeShowConference	Recommend adding "TradeShow" to InteractionPurpose enumeration list
Conference	InteractionPurpose=Conference already exists
AnnualShareholderMeeting	potentially add to InteractionPurpose – should we keep the separate AnnualShareholderMeeting and SpecialShareholderMeeting values or simply use ShareholderMeeting?
IPO	Would this be an InteractionPurpose, or would it be the topic of an interaction?
EarningsRelease	would a sellside publisher need this, or just the EarningsReleaseDiscussion option?
EarningsReleaseDiscussion	Would Subject=EarningsReview work?
EarningsGuidancePreliminary Discussion	Would Subject=EarningsPreview work?
SpecialShareholdersMeeting	See above
CorporateActionCommentary	Form = Comment (or report or commentary); suggest adding CorporateAction to SubjectEnum
RoadShow	DealRoadshow and NonDealRoadshow already exist in InteractionPurpose
GovernmentMeeting	potential addition to InteractionPurpose

Event and Interaction date types

Only available in Interactions Standard	Only available in Events tag set in Research
InteractionDateType	EventDateType
StartDate	StartDate
EndDate	EndDate
ExpirationDate	ExpirationDate
CancellationDate	RegistrationDeadlineDate
DateDue	PricingDate
DateRequested	FilingDate
DeliveryDate	LockupDate
InitialContactDate	WithdrawalDate
n/a	QuietPeriodEndDate
Potential addition: RegistrationDeadlineDate	

The enumerations in the EventDateType tag set include two types of values for two very different use cases:

- values used to indicate aspects of a meeting, conference, or other event (StartDate, EndDate, RegistrationDeadlineDate, and ExpirationDate), and
- values allowing the publisher to convey dates related to an IPO or other offering (PricingDate, FilingDate, LockupDate, WithdrawalDate, and QuietPeriodEndDate).

The values that relate to the second use case have existed for as long as the Event tag set has, but that use case is not described in the documentation.

While the first use case (providing meeting details) is a core function of the Interactions Standard, the second one (conveying IPO-related date information) is not, and does not seem to fit the purpose or structure of the Interactions Standard. Thus, since the Event module will continue to exist in the Research Standard for any firm that needs it, it seems that the best course of action is to ensure that the values in the EventDateType list that are related to describing actual events *should* be added to the InteractionDateType list, but those related solely to IPO-related announcements *should not*.

Input requested:

- Since the IPO-related date types are not relevant to interactions, does anyone object to NOT adding these to the InteractionDateType enumeration list? They will remain in the EventDateType enumeration list for firms that currently use them (if any firms do).
- Do any of the firms use the Event tag set for this purpose? It has been in the Research Standard since v2.0, but the use case for IPO-related event dates has never been documented.

Interaction-related status options

No changes were recommended to any of the enumeration lists below:

InteractionStatusType	InteractionParticipantStatus
This list is used to record the participant's <i>intended</i> attendance (e.g., how they RSVPd); the indication of their actual attendance is in the next list.	This list is used to record the participant's actual participation level once the interaction has occurred.
Accepted Confirmed Delivered Declined Invited New Offered Postponed Tentative WaitListed PublisherDefined	Accepted Attended Canceled LateCancel NoShow Rejected
PublisherDefined is included for publishers using the interaction standard for internal use.	

InteractionRelationshipType

Values	Recommended or proposed changes
ParentEvent SubEvent AnnualEvent *	* Propose changing this to RecurringEvent

InteractionVenueType

This serves a different purpose than the Venue tag in an Event record; Onsite means that the interaction occurred at the interaction consumer's office.

Values	Recommended or proposed changes
Offsite Onsite	

InteractionReason

Used to indicate who initiated the interaction, if anyone.

Values	Recommended or proposed changes
ConsumerRequested OnSpec NonDirected	

OrganizationCategory

Used to designate participant and participant's firm.

Values	Recommended or proposed changes
Consumer Corporate Expert Provider	

InteractionLevelEnum

Values	Recommended or proposed changes
OneDirectional Interactive Deliverable	